

Algebraic Geometry with Applications to Tensors and Secants – Kickoff workshop
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De-bordering symmetric border rank –and other open problems–

Christian Ikenmeyer



- 1 Border Waring rank, Border Chow rank, Iterated Matrix Multiplication
- 2 Complexity classes
- 3 Border Waring Rank vs Waring Rank

1 Border Waring rank, Border Chow rank, Iterated Matrix Multiplication

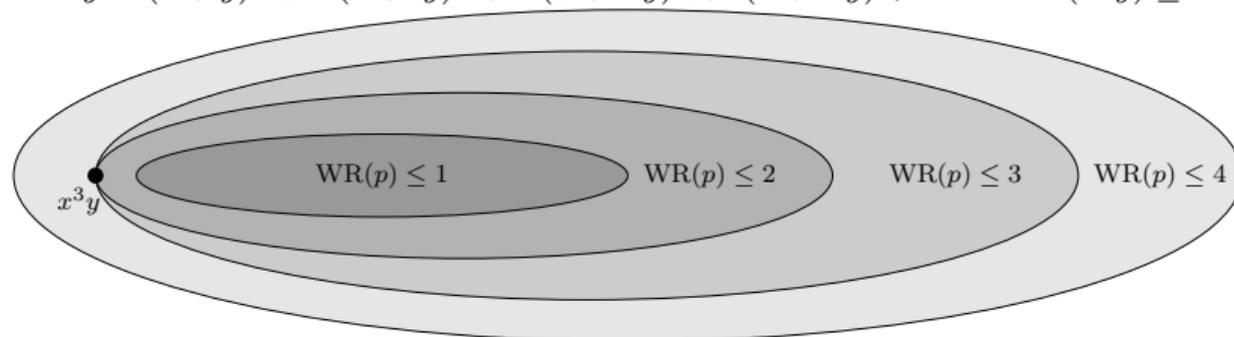
2 Complexity classes

3 Border Waring Rank vs Waring Rank

For a homogeneous degree d polynomial p define the **Waring rank** $\text{WR}(p)$ (also called **symmetric rank**) as the smallest r such that there exist homogeneous linear polynomials with

$$p = \sum_{i=1}^r (\ell_i)^d.$$

$12x^3y = (x+y)^4 + i^3(x+iy)^4 + i^2(x+i^2y)^4 + i(x+i^3y)^4$, hence $\text{WR}(x^3y) \leq 4$. In fact, $\text{WR}(x^{d-1}y) = d$.



$$\frac{1}{\varepsilon} \left((x + \varepsilon y)^4 - x^4 \right) = 4x^3y + \varepsilon(6x^2y^2 + 4\varepsilon xy^3 + \varepsilon^2 y^4) \xrightarrow{\varepsilon \rightarrow 0} 4x^3y$$

The **border Waring rank** $\underline{\text{WR}}(p)$ is defined as the smallest r such that p can be approximated arbitrarily closely by polynomials of Waring rank $\leq r$. For example, $\underline{\text{WR}}(x^3y) \leq 2$.

In fact [Carlini, Catalisano, Geramita 2012]: $\text{WR}(x^{d-1}y) = d$, whereas $\underline{\text{WR}}(x^{d-1}y) = 2$.

Theorem (works in high generality)

Let $V = \mathbb{C}[x_1, \dots, x_n]_d$.

Zariski closure and Euclidean closure coincide:

$$\{p \in V \mid \underline{\text{WR}}(p)\} = \overline{\{p \in V \mid \text{WR}(p)\}}^{\mathbb{C}} = \overline{\{p \in V \mid \text{WR}(p)\}}^{\text{Zar}}.$$

(secant variety of the Veronese variety)

Analogously: The Chow rank

For a homogeneous degree d polynomial p define the **Chow rank** $\text{CR}(p)$ as the smallest r such that there exist homogeneous linear polynomials $\ell_{i,j}$ with

$$p = \sum_{i=1}^r \prod_{j=1}^d \ell_{i,j}.$$

The **border Chow rank** $\underline{\text{CR}}(p)$ is defined as the smallest r such that p can be approximated arbitrarily closely by polynomials of Chow rank $\leq r$.

Analogous theorem

Let $V = \mathbb{C}[x_1, \dots, x_n]_d$.

Zariski closure and Euclidean closure coincide:

$$\{p \in V \mid \underline{\text{CR}}(p)\} = \overline{\{p \in V \mid \text{CR}(p)\}}^{\mathbb{C}} = \overline{\{p \in V \mid \text{CR}(p)\}}^{\text{Zar}}.$$

(secant variety of the Chow variety (i.e., variety of products of linear forms))

For a homogeneous degree d polynomial p the **Waring rank** $WR(p)$ is defined as the smallest r such that \exists linear forms with

$$p = (\ell_1 \ell_2 \cdots \ell_r) \begin{pmatrix} \ell_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \ell_r \end{pmatrix} \begin{pmatrix} \ell_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \ell_r \end{pmatrix} \cdots \begin{pmatrix} \ell_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \ell_r \end{pmatrix} \begin{pmatrix} \ell_1 \\ \vdots \\ \ell_r \end{pmatrix}$$

For a homogeneous degree d polynomial p the **Chow rank** $CR(p)$ is defined as the smallest r such that \exists linear forms with

$$p = (\ell_{1,1} \ell_{2,1} \cdots \ell_{r,1}) \begin{pmatrix} \ell_{1,2} & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \ell_{r,2} \end{pmatrix} \begin{pmatrix} \ell_{1,3} & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \ell_{r,3} \end{pmatrix} \cdots \begin{pmatrix} \ell_{1,d-1} & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \ell_{r,d-1} \end{pmatrix} \begin{pmatrix} \ell_{1,d} \\ \vdots \\ \ell_{r,d} \end{pmatrix}$$

For a homogeneous degree d polynomial p the **complexity** $w(p)$ is defined as the smallest r such that \exists linear forms with

$$p = (\ell_{1,1,1} \ell_{1,2,1} \cdots \ell_{1,r,1}) \begin{pmatrix} \ell_{1,1,2} & \cdots & \ell_{1,r,2} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,2} & \cdots & \ell_{r,r,2} \end{pmatrix} \begin{pmatrix} \ell_{1,1,3} & \cdots & \ell_{1,r,3} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,3} & \cdots & \ell_{r,r,3} \end{pmatrix} \cdots \begin{pmatrix} \ell_{1,1,d-1} & \cdots & \ell_{1,r,d-1} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,d-1} & \cdots & \ell_{r,r,d-1} \end{pmatrix} \begin{pmatrix} \ell_{1,1,d} \\ \vdots \\ \ell_{1,r,d} \end{pmatrix}$$

This is also called the **iterated matrix multiplication complexity** or the **algebraic branching program width**.

\underline{w} is defined analogously to \underline{WR} .

Recall

For a homogeneous degree d polynomial p the **complexity** $w(p)$ is defined as the smallest r such that \exists linear forms with

$$p = (\ell_{1,1,1} \ell_{1,2,1} \cdots \ell_{1,r,1}) \begin{pmatrix} \ell_{1,1,2} & \cdots & \ell_{1,r,2} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,2} & \cdots & \ell_{r,r,2} \end{pmatrix} \begin{pmatrix} \ell_{1,1,3} & \cdots & \ell_{1,r,3} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,3} & \cdots & \ell_{r,r,3} \end{pmatrix} \cdots \begin{pmatrix} \ell_{1,1,d-1} & \cdots & \ell_{1,r,d-1} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,d-1} & \cdots & \ell_{r,r,d-1} \end{pmatrix} \begin{pmatrix} \ell_{1,1,d} \\ \vdots \\ \ell_{1,r,d} \end{pmatrix}$$

$$\begin{array}{ccccc} \text{WR}(p) & \xrightarrow{\geq} & \text{CR}(p) & \xrightarrow{\geq} & w(p) \\ \left. \vphantom{\begin{array}{c} \text{WR}(p) \\ \text{CR}(p) \\ w(p) \end{array}} \right\} \text{IV} & & \left. \vphantom{\begin{array}{c} \text{CR}(p) \\ \underline{\text{CR}}(p) \\ \underline{w}(p) \end{array}} \right\} \text{IV} & & \left. \vphantom{\begin{array}{c} w(p) \\ \underline{w}(p) \end{array}} \right\} \text{IV} \\ \underline{\text{WR}}(p) & \xrightarrow{\geq} & \underline{\text{CR}}(p) & \xrightarrow{\geq} & \underline{w}(p) \end{array}$$

- For $p = x^2y$ we have $\text{WR}(p) = 3 > 2 = \underline{\text{WR}}(p)$
- For $p = y_1x_2x_3 + x_1y_2x_3 + x_1x_2y_3 + x_1x_2x_3$ we have $\text{CR}(p) > 2 = \underline{\text{CR}}(p)$ [Hüttenhain 2017]
- Gesmundo's remark: For $p = (x_1y_1 + \cdots x_8y_8)z^{39}$ we have $w(p) > 2 = \underline{w}(p)$ proved via combining [Allender-Wang 2015] and [Bringmann, I, Zuiddam 2017]

$$\begin{array}{ccccc}
 \text{WR}(p) & \xrightarrow{\geq} & \text{CR}(p) & \xrightarrow{\geq} & \text{w}(p) \\
 \left. \vphantom{\text{WR}(p)} \right\} \text{IV} & & \left. \vphantom{\text{CR}(p)} \right\} \text{IV} & & \left. \vphantom{\text{w}(p)} \right\} \text{IV} \\
 \text{WR}(p) & \xrightarrow{\geq} & \text{CR}(p) & \xrightarrow{\geq} & \text{w}(p) \\
 \left. \vphantom{\text{WR}(p)} \right\} \text{IV} & & \left. \vphantom{\text{CR}(p)} \right\} \text{IV} & & \left. \vphantom{\text{w}(p)} \right\} \text{IV}
 \end{array}$$

Theorem (de-bordering) [Bläser, Dörfler, I 2020]

$$\underline{\text{WR}}(p) \geq \underline{\text{w}}(p).$$

Proof: Via Nisan's flattenings ("tensor partial derivatives").

De-bordering is a recent topic in algebraic complexity theory. New techniques in [Dutta Dwivedi Saxena 2022].

Linear forms $a_{i,j}$ and $b_{i,j}$.

$$\begin{pmatrix} a_{1,1} & \cdots & a_{1,r} \\ \vdots & \ddots & \vdots \\ a_{r,1} & \cdots & a_{r,r} \end{pmatrix} \boxtimes \begin{pmatrix} b_{1,1} & \cdots & b_{1,r} \\ \vdots & \ddots & \vdots \\ b_{r,1} & \cdots & b_{r,r} \end{pmatrix} := \begin{pmatrix} \sum_{i=1}^r a_{1,i} \otimes b_{i,1} & \cdots & \sum_{i=1}^r a_{1,i} \otimes b_{i,r} \\ \vdots & \ddots & \vdots \\ \sum_{i=1}^r a_{r,i} \otimes b_{i,1} & \cdots & \sum_{i=1}^r a_{r,i} \otimes b_{i,r} \end{pmatrix}$$

Definition

For an order d tensor t the **tensor complexity** $w_{\otimes}(t)$ is defined as the smallest r such that \exists linear forms with $t =$

$$(\ell_{1,1,1} \ell_{1,2,1} \cdots \ell_{1,r,1}) \boxtimes \begin{pmatrix} \ell_{1,1,2} & \cdots & \ell_{1,r,2} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,2} & \cdots & \ell_{r,r,2} \end{pmatrix} \boxtimes \begin{pmatrix} \ell_{1,1,3} & \cdots & \ell_{1,r,3} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,3} & \cdots & \ell_{r,r,3} \end{pmatrix} \boxtimes \cdots \boxtimes \begin{pmatrix} \ell_{1,1,d-1} & \cdots & \ell_{1,r,d-1} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,d-1} & \cdots & \ell_{r,r,d-1} \end{pmatrix} \boxtimes \begin{pmatrix} \ell_{1,1,d} \\ \vdots \\ \ell_{1,r,d} \end{pmatrix}$$

Theorem [Nisan 1991]

Consider the maps $F_i: \begin{matrix} \bigotimes^d V \\ t \end{matrix} \begin{matrix} \simeq \\ \mapsto \end{matrix} \begin{matrix} (\bigotimes^i V) \otimes (\bigotimes^{d-i} V) \\ F_i(t) \end{matrix}$.

We have $\forall t: w_{\otimes}(t) = \max\{\text{rank}(F_i(t))\}$.

Nisan's proof is combinat. + lin. alg. (in "Lower Bounds for Non-Commutative Computation"). Basis-independent version?

Conclusion [Forbes 2016]

$\forall t: \underline{w}_{\otimes}(t) = w_{\otimes}(t)$.

Theorem (de-bordering) [Bläser, Dörfler, I 2020]

$$\underline{\text{WR}}(p) \geq w(p).$$

Proof: Start with a border Waring rank decomposition

$$\begin{aligned}
 p &= \lim_{\varepsilon \rightarrow 0} (\ell_1(\varepsilon) \ell_2(\varepsilon) \cdots \ell_r(\varepsilon)) \cdot \begin{pmatrix} \ell_1(\varepsilon) & 0 & 0 \\ & \ddots & \\ 0 & & 0 \\ 0 & 0 & \ell_r(\varepsilon) \end{pmatrix} \cdot \begin{pmatrix} \ell_1(\varepsilon) & 0 & 0 \\ & \ddots & \\ 0 & & 0 \\ 0 & 0 & \ell_r(\varepsilon) \end{pmatrix} \cdots \begin{pmatrix} \ell_1(\varepsilon) & 0 & 0 \\ & \ddots & \\ 0 & & 0 \\ 0 & 0 & \ell_r(\varepsilon) \end{pmatrix} \cdot \begin{pmatrix} \ell_1(\varepsilon) \\ \vdots \\ \ell_r(\varepsilon) \end{pmatrix} \\
 &\stackrel{\text{every monomial is a power}}{=} \lim_{\varepsilon \rightarrow 0} (\ell_1(\varepsilon) \ell_2(\varepsilon) \cdots \ell_r(\varepsilon)) \begin{pmatrix} \ell_1(\varepsilon) & 0 & 0 \\ & \ddots & \\ 0 & & 0 \\ 0 & 0 & \ell_r(\varepsilon) \end{pmatrix} \boxtimes \begin{pmatrix} \ell_1(\varepsilon) & 0 & 0 \\ & \ddots & \\ 0 & & 0 \\ 0 & 0 & \ell_r(\varepsilon) \end{pmatrix} \boxtimes \cdots \boxtimes \begin{pmatrix} \ell_1(\varepsilon) & 0 & 0 \\ & \ddots & \\ 0 & & 0 \\ 0 & 0 & \ell_r(\varepsilon) \end{pmatrix} \boxtimes \begin{pmatrix} \ell_1(\varepsilon) \\ \vdots \\ \ell_r(\varepsilon) \end{pmatrix} \\
 &\stackrel{\text{Nisan}}{=} (\ell_{1,1,1} \ell_{1,2,1} \cdots \ell_{1,r,1}) \boxtimes \begin{pmatrix} \ell_{1,1,2} & \cdots & \ell_{1,r,2} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,2} & \cdots & \ell_{r,r,2} \end{pmatrix} \boxtimes \begin{pmatrix} \ell_{1,1,3} & \cdots & \ell_{1,r,3} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,3} & \cdots & \ell_{r,r,3} \end{pmatrix} \boxtimes \cdots \boxtimes \begin{pmatrix} \ell_{1,1,d-1} & \cdots & \ell_{1,r,d-1} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,d-1} & \cdots & \ell_{r,r,d-1} \end{pmatrix} \boxtimes \begin{pmatrix} \ell_{1,1,d} \\ \vdots \\ \ell_{1,r,d} \end{pmatrix} \\
 &\stackrel{p \text{ is a symm. tensor}}{=} (\ell_{1,1,1} \ell_{1,2,1} \cdots \ell_{1,r,1}) \cdot \begin{pmatrix} \ell_{1,1,2} & \cdots & \ell_{1,r,2} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,2} & \cdots & \ell_{r,r,2} \end{pmatrix} \cdot \begin{pmatrix} \ell_{1,1,3} & \cdots & \ell_{1,r,3} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,3} & \cdots & \ell_{r,r,3} \end{pmatrix} \cdots \begin{pmatrix} \ell_{1,1,d-1} & \cdots & \ell_{1,r,d-1} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,d-1} & \cdots & \ell_{r,r,d-1} \end{pmatrix} \cdot \begin{pmatrix} \ell_{1,1,d} \\ \vdots \\ \ell_{1,r,d} \end{pmatrix}
 \end{aligned}$$

□

Remark: Computation via the trace is not closed

Recall:

For an order d tensor t the **tensor complexity** $w_{\otimes}(t)$ is defined as the smallest r such that \exists linear forms with $t =$

$$(\ell_{1,1,1} \ell_{1,2,1} \cdots \ell_{1,r,1}) \boxtimes \begin{pmatrix} \ell_{1,1,2} & \cdots & \ell_{1,r,2} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,2} & \cdots & \ell_{r,r,2} \end{pmatrix} \boxtimes \begin{pmatrix} \ell_{1,1,3} & \cdots & \ell_{1,r,3} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,3} & \cdots & \ell_{r,r,3} \end{pmatrix} \boxtimes \cdots \boxtimes \begin{pmatrix} \ell_{1,1,d-1} & \cdots & \ell_{1,r,d-1} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,d-1} & \cdots & \ell_{r,r,d-1} \end{pmatrix} \boxtimes \begin{pmatrix} \ell_{1,1,d} \\ \vdots \\ \ell_{1,r,d} \end{pmatrix}$$

Nisan: $\forall t$ we have $\underline{w}_{\otimes}(t) = w_{\otimes}(t)$.

Definition

For an order d tensor t the **trace complexity** $\text{tr}w_{\otimes}(t)$ is defined as the smallest r such that \exists linear forms with $t =$

$$\text{trace} \left(\begin{pmatrix} \ell_{1,1,1} & \cdots & \ell_{1,r,1} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,1} & \cdots & \ell_{r,r,1} \end{pmatrix} \boxtimes \begin{pmatrix} \ell_{1,1,2} & \cdots & \ell_{1,r,2} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,2} & \cdots & \ell_{r,r,2} \end{pmatrix} \boxtimes \cdots \boxtimes \begin{pmatrix} \ell_{1,1,d} & \cdots & \ell_{1,r,d} \\ \vdots & \ddots & \vdots \\ \ell_{r,1,d} & \cdots & \ell_{r,r,d} \end{pmatrix} \right)$$

Theorem [Bläser, I Mahajan, Pandey, Saurabh 2020], confirming a conjecture by Forbes

$\exists t$ such that $\underline{\text{tr}w}_{\otimes}(t) < \text{tr}w_{\otimes}(t)$.

The highest known gap is just 1.

The gap is small, which can be seen by computing summands independently: $\forall t : w_{\otimes}(t) \stackrel{!}{\leq} (\text{tr}w_{\otimes}(t))^2 \leq (w_{\otimes}(t))^2$.

1 Border Waring rank, Border Chow rank, Iterated Matrix Multiplication

2 **Complexity classes**

3 Border Waring Rank vs Waring Rank

A sequence $(c_n)_{n \in \mathbb{N}}$ of nonnegative integers is called **polynomially bounded** if there exist a univariate polynomial t such that $\forall n \in \mathbb{N} : c_n \leq t(n)$.

For a sequence of multivariate polynomials $(p_n)_{n \in \mathbb{N}}$ we have several sequences of nonnegative integers:

- $\deg((p_n)_{n \in \mathbb{N}}) := (\deg(p_1), \deg(p_2), \dots)$ degree of the polynomials
- $\text{WR}((p_n)_{n \in \mathbb{N}}) := (\text{WR}(p_1), \text{WR}(p_2), \dots)$ Waring rank of the polynomials
- etc

Definition (p-family)

A sequence of polynomials (p) is called a **p-family** if $\deg(p)$ is polynomially bounded.

Example: The permanent polynomial $\text{per}_n := \sum_{\pi \in \mathfrak{S}_n} \prod_{i=1}^n x_{i, \pi(i)}$, $\deg(\text{per}_n) = n$.

Remark 1: In the original def, the number of variables must be polynomially bounded, but for all questions of this talk the number of **essential** variables will be polynomially bounded, which is a cleaner, basis independent notion.

Remark 2: We only consider homogeneous polynomials in this talk.

Definitions (VW, VC, VBP)

(the V stands for L. Valiant)

VW is the set of p-families with polynomially bounded WR.

VC is the set of p-families with polynomially bounded CR.

VBP is the set of p-families with polynomially bounded w.

- The Shioda polynomial: $s_n := x_1^{n-1}x_2 + x_2^{n-1}x_3 + \dots + x_n^{n-1}x_1 + x_0^n$ (s) \in **VW**
- The determinant: $\det_n := \sum_{\pi \in \mathfrak{S}_n} \text{sgn}(\pi) \prod_{i=1}^n x_{i, \pi(i)}$ Nontrivial: (det) \in **VBP**
- “(per) \notin **VBP**” is called **Valiant's conjecture**.

This is the flagship conjecture in algebraic complexity theory, basically an “algebraic P vs NP”

(per) can be replaced by any so-called VNP-complete sequence, for example the order 4 hyperpfaffian.

Border complexity classes

Recall

VW is the set of p-families with polynomially bounded WR.

VC is the set of p-families with polynomially bounded CR.

VBP is the set of p-families with polynomially bounded w.

Definitions ($\overline{\mathbf{VW}}$, $\overline{\mathbf{VC}}$, $\overline{\mathbf{VBP}}$)

$\overline{\mathbf{VW}}$ is the set of p-families with polynomially bounded $\overline{\mathbf{WR}}$.

$\overline{\mathbf{VC}}$ is the set of p-families with polynomially bounded $\overline{\mathbf{CR}}$.

$\overline{\mathbf{VBP}}$ is the set of p-families with polynomially bounded $\overline{\mathbf{w}}$.

One can define a topology on the set of all p-families such that $\overline{\mathbf{VW}}$ is the closure of **VW** etc [I, Sanyal 2021].

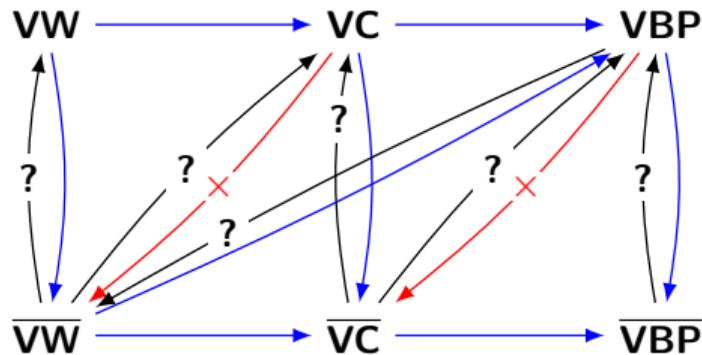
Valiant's conjecture 1979: $(\text{per}) \notin \mathbf{VBP}$

Mulmuley-Sohoni's conjecture 2001: $(\text{per}) \notin \overline{\mathbf{VBP}}$

Is $\mathbf{VBP} = \overline{\mathbf{VBP}}$? This would imply that the questions at the heart of algebraic complexity theory are questions about algebraic geometry!

Notably we have no candidates for elements in $\overline{\mathbf{VW}} \setminus \mathbf{VW}$ or $\overline{\mathbf{VC}} \setminus \mathbf{VC}$ or $\overline{\mathbf{VBP}} \setminus \mathbf{VBP}$.

Work in this direction by [Grochow Mulmuley Qiao 2016]



$A \xrightarrow{\text{blue}} B$ means $A \subseteq B$.

$A \xrightarrow{\text{red X}} B$ means $A \not\subseteq B$.

- $VC \not\subseteq \overline{VW}$ via $\underline{WR}(x_1 \cdots x_n) \geq \binom{n}{\lfloor \frac{n}{2} \rfloor} \geq 2^{n/2}$ [Landsberg Teitler 2009]

- $VBP \not\subseteq \overline{VC}$ is a recent breakthrough via "lopsided flattenings": [Limaye, Srinivasan, Tavenas 2021]

The open questions about this partially ordered set of 6 elements:

1. $VW \stackrel{?}{=} \overline{VW}$
2. $VC \stackrel{?}{=} \overline{VC}$
3. $VBP \stackrel{?}{=} \overline{VBP}$
4. $\overline{VW} \stackrel{?}{\subset} VC$
5. $\overline{VC} \stackrel{?}{\subset} VBP$
6. $\overline{VW} \stackrel{?}{=} VBP$

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Interpolation

Theorem (algebraic definition of $\underline{\text{WR}}$)

Let $V = \mathbb{C}[x_1, \dots, x_n]_d$.

For $p \in V$ we have $\underline{\text{WR}}(p) \leq r$ if and only if there exists a curve

$$p^{(\varepsilon)} := p + \varepsilon p_1 + \varepsilon^2 p_2 + \dots + \varepsilon^e p_e$$

in V with $\text{WR}(p^{(\varepsilon)}) \leq r$ for all ε .

From $\underline{\text{WR}}$ to WR :

View $g(\varepsilon) = p^{(\varepsilon)}$ as a univariate polynomial in ε of degree $\leq e$.

Lagrange interpolation on $e + 1$ points:

$$g(\varepsilon) = \sum_{j=0}^e g(\alpha_j) \left(\prod_{\substack{0 \leq m \leq e: \\ m \neq j}} \frac{\varepsilon - \alpha_m}{\alpha_j - \alpha_m} \right). \quad p = g(0) = \underbrace{\sum_{j=0}^e g(\alpha_j) \left(\prod_{\substack{0 \leq m \leq e: \\ m \neq j}} \frac{-\alpha_m}{\alpha_j - \alpha_m} \right)}_{\text{Waring rank} \leq r}$$

Hence we get a Waring rank $r \cdot (e + 1)$ expression.

Therefore: If there exists a bivariate polynomial $q(r, d)$ such that we can always find the $\underline{\text{WR}}$ decompositions so that $e \leq q(\underline{\text{WR}}(p), d)$, then $\mathbf{VW} = \overline{\mathbf{VW}}$.

The best we have is an exponential upper bound on e due to [Bürgisser 2004, 2020] (Lysikov), based on [Lehmkuhl-Lickteig 1989], which goes via proving bounds on the degree of the curve $p^{(e)}$.

The Landsberg-Teitler tables

[Landsberg Teitler 2010] *On the Ranks and Border Ranks of Symmetric Tensors*, Section 10, contains tables:

Border Waring rank 1 approximating curves:

$$x^d$$

$$e = 0$$

Border Waring rank 2 approximating curves:

$$\begin{aligned} x^d, y^d \\ x^d, (x + \varepsilon y)^d \end{aligned}$$

$$e = d$$

Border Waring rank 3 approximating curves:

$$\begin{aligned} x^d, y^d, z^d \\ x^d, (x + \varepsilon y)^d, z^d \\ x^d, (x + \varepsilon y)^d, (x + 2\varepsilon y + \varepsilon^2 z)^d \end{aligned}$$

$$e = 2d$$

Border Waring rank 4 approximating curves:

$$\begin{aligned} x^d, y^d, z^d, w^d \\ x^d, (x + \varepsilon y)^d, z^d, w^d \\ x^d, (x + \varepsilon y)^d, z^d, (z + \varepsilon w)^d \\ x^d, (x + \varepsilon y)^d, (x + \varepsilon y + \varepsilon^2 z)^d, (x + \varepsilon^2 z)^d \\ x^d, (x + \varepsilon y)^d, (x + \varepsilon y + \varepsilon^2 z)^d, w^d \\ x^d, (x + \varepsilon y)^d, (x + \varepsilon y + \varepsilon^2 z)^d, (x + \varepsilon y + \varepsilon^2 z + \varepsilon^3 w)^d \end{aligned}$$

$$e = 3d$$

If this pattern continues (i.e., the highest exponent of ε equals the border rank minus 1), then $\overline{\mathbf{VW}} = \mathbf{VW}$. Note that this is **not** asking for a complete classification of all curves, just about a bound on the exponent.

It is sufficient to look at high degrees

In the following proposition, the function $\gamma : \mathbb{N} \rightarrow \mathbb{N}$ can be arbitrary (in particular fast-growing).

Proposition

If there exists a function $\gamma : \mathbb{N} \rightarrow \mathbb{N}$ and a univariate polynomial q such that for all $r \in \mathbb{N}$ and all polynomials p of degree $> \gamma(r)$ with $\underline{\text{WR}}(p) = r$ we have $\text{WR}(p) \leq q(r)$, then $\overline{\mathbf{VW}} \subseteq \mathbf{VW}$ (and hence $\overline{\mathbf{VW}} = \mathbf{VW}$).

Proof:

Recall $\underline{\text{WR}}(x^a y^b) = \min\{a, b\} + 1$.

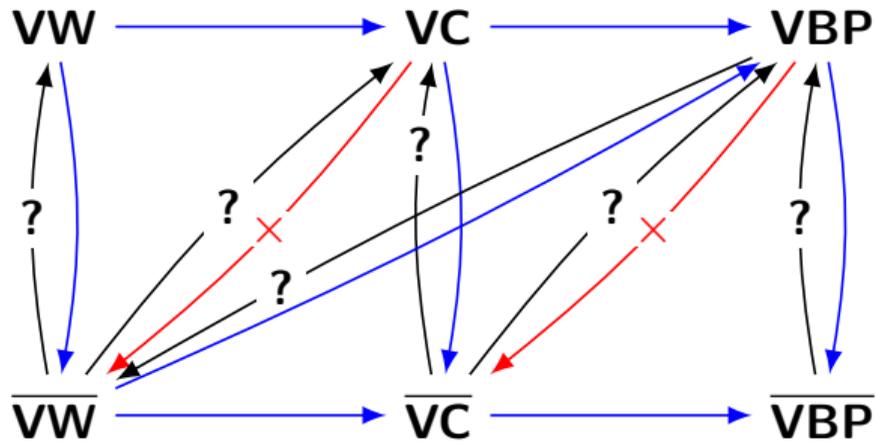
$$\underline{\text{WR}}(x_0^{\delta-d} p) \leq (d+1)r.$$

Choose $\delta > \gamma(r)$: $\text{WR}(x_0^{\delta-d} p) \leq q((d+1)r)$.

Set $x_0 = 1$ to get an affine sum-of- d th-powers decomposition of p with $\leq q((d+1)r)$ summands.

Since p is homogeneous, we can take the homogeneous parts of the linear polynomials: $\text{WR}(p) \leq q((d+1)r)$.

For sequences of polynomials with polynomially bounded degree and $\underline{\text{WR}}$, it follows that WR is also polynomially bounded. □



Thank you for your attention!