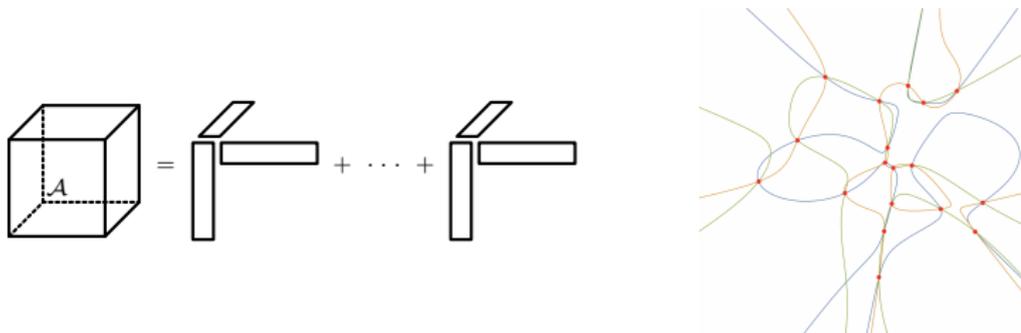


Complexity of tensor decomposition and Hilbert functions

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Tensor rank decomposition

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$$\mathbb{C}^{\ell+1} \otimes \mathbb{C}^{m+1} \otimes \mathbb{C}^{n+1} \simeq \mathbb{C}^{(\ell+1) \times (m+1) \times (n+1)}$$

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where $\alpha = (\alpha_i)_{0 \leq i \leq \ell} \in \mathbb{C}^{\ell+1}$, $\beta \in \mathbb{C}^{m+1}$, $\gamma \in \mathbb{C}^{n+1}$.

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Every tensor \mathcal{A} is a linear combination of rank-1 tensors:

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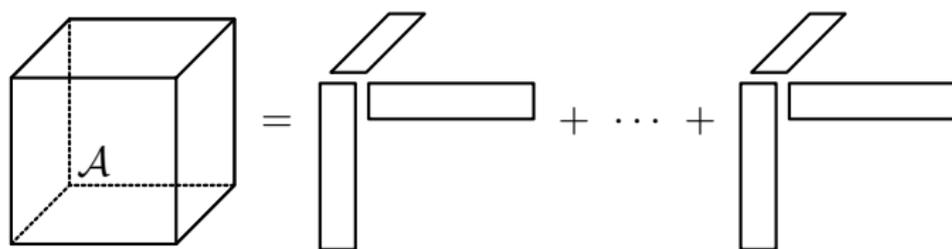
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$$\mathcal{A} = \alpha_1 \otimes \beta_1 \otimes \gamma_1 + \cdots + \alpha_r \otimes \beta_r \otimes \gamma_r$$



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$$\mathbb{C}^{\ell+1} \otimes \mathbb{C}^{m+1} \otimes \mathbb{C}^{n+1} \longrightarrow \mathbb{C}^{\ell+1} \otimes (\mathbb{C}^{m+1} \otimes \mathbb{C}^{n+1})^{\vee}.$$

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The **CPD** $\mathcal{A} = \sum_{i=1}^r \alpha_i \otimes \beta_i \otimes \gamma_i$ gives

$$\begin{aligned} \mathcal{A}_{(1)} &= \alpha_1(\beta_1 \otimes \gamma_1)^{\top} + \cdots + \alpha_r(\beta_r \otimes \gamma_r)^{\top} \\ &= \begin{bmatrix} \left| \right. & & \left| \right. \\ \alpha_1 & \cdots & \alpha_r \\ \left| \right. & & \left| \right. \end{bmatrix} \begin{bmatrix} \text{---} & (\beta_1 \otimes \gamma_1)^{\top} & \text{---} \\ \text{---} & (\beta_2 \otimes \gamma_2)^{\top} & \text{---} \\ & \vdots & \\ \text{---} & (\beta_r \otimes \gamma_r)^{\top} & \text{---} \end{bmatrix} \end{aligned}$$

Example

Consider the $4 \times 3 \times 3$ tensor \mathcal{A} with flattening

$$\mathcal{A}_{(1)} = \begin{array}{c} \begin{array}{ccccccccc} & 00 & 01 & 02 & 10 & 11 & 12 & 20 & 21 & 22 \end{array} \\ \begin{array}{c} 0 \\ 1 \\ 2 \\ 3 \end{array} \left[\begin{array}{ccccccccc} 1 & 0 & 0 & 0 & 0 & 0 & 2 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 2 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 2 & 1 & 2 \\ 1 & 1 & 1 & 1 & 1 & 2 & 2 & 1 & 2 \end{array} \right]. \end{array}$$

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Question: how do we find $\alpha_i, \beta_i, \gamma_i$ from $\mathcal{A}_{(1)}$?

From flattenings to polynomial equations

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$$\begin{array}{c} f_1 \\ f_2 \\ f_3 \\ f_4 \\ f_5 \end{array} \begin{bmatrix} x_0y_0 & x_0y_1 & x_0y_2 & x_1y_0 & x_1y_1 & x_1y_2 & x_2y_0 & x_2y_1 & x_2y_2 \\ 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & -1 & 0 & 1 & 0 & 0 & 0 \\ -2 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & -2 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}.$$

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Identify $\mathbb{C}^3 \otimes \mathbb{C}^3 \simeq$ bilinear forms in $\{x_0, x_1, x_2\}, \{y_0, y_1, y_2\}$.

$$f_1 = -x_1y_0 + x_1y_1, \quad f_2 = -x_0y_2 - x_1y_0 + x_1y_2, \quad f_3 = -2x_0y_0 + x_2y_0,$$

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The variety $V_{\mathbb{P}^2 \times \mathbb{P}^2}(f_1, \dots, f_5)$ consists of the four points

$$\begin{aligned} (\beta_1, \gamma_1) &= ((1 : 0 : 2), (1 : 0 : 0)), & (\beta_2, \gamma_2) &= ((1 : 0 : 1), (0 : 1 : 0)), \\ (\beta_3, \gamma_3) &= ((1 : 1 : 2), (0 : 0 : 1)), & (\beta_4, \gamma_4) &= ((0 : 1 : 0), (1 : 1 : 1)). \end{aligned}$$

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Hilbert functions and multiplication maps

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For a graded S -module: $A = \bigoplus_{(d,e) \in \mathbb{Z}^2} A_{(d,e)}$, recall that the Hilbert function $\text{HF}_A : \mathbb{Z}^2 \rightarrow \mathbb{N}$ is

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Fixing bases, these are matrices of size $\text{HF}_{S/I}(1, 1) \times \text{HF}_{S/I}(d, e)$.

Solving via eigenvalues

By construction (recall $I = \langle \ker \mathcal{A}_{(1)} \rangle$, $\mathcal{A}_{(1)}$ has rank r)

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Theorem: Let $(d, e), h_0$ be as above. The linear maps $M_{g/h_0} = M_{h_0}^{-1} \circ M_g$ for $g \in S_{(d', e')}$ commute and have eigenvalues

$$\frac{g}{h_0}(\beta_i, \gamma_i), \quad i = 1, \dots, r.$$

Computing multiplication matrices

- ▶ The matrices M_{g/h_0} can be obtained from a basis of $I_{(d,e)}^\perp$ via the computation of a **homogeneous normal form**².

²S. T. “Solving Systems of Polynomial Equations (doctoral dissertation, KU Leuven)”. In: (2020). available at <https://simontelen.webnode.com/publications/>.

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Caveat: for very small ranks, $r \leq m + 1 \leq \ell + 1$, we can work with multiplication matrices $(S/I)_{(0,1)} \rightarrow (S/I)_{(1,1)}$ and our algorithm can be interpreted as a **pencil-based algorithm**.

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Example (continued)

$$\begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \\ 2 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \\ 1 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 1 \\ 2 \end{bmatrix} \otimes \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

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$$f_1 = -x_1y_0 + x_1y_1, \quad f_2 = -x_0y_2 - x_1y_0 + x_1y_2, \quad f_3 = -2x_0y_0 + x_2y_0,$$

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HF_{S//I}(i, j):

<i>i</i> \ <i>j</i>	0	1	2	3	...
0	1	3	6	10	...
1	3	4	4	4	...
2	6	4	4	4	...
3	10	4	4	4	...
⋮	⋮	⋮	⋮	⋮	⋮

Example (continued)

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take $(d, e) = (2, 1)$,

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	$x_0^2y_0$	$x_0^2y_1$	$x_0^2y_2$	$x_0x_1y_0$	$x_0x_1y_1$	$x_0x_1y_2$	$x_0x_2y_0$	$x_0x_2y_1$	$x_0x_2y_2$	$x_1^2y_0$	$x_1^2y_1$	$x_1^2y_2$	$x_1x_2y_0$	$x_1x_2y_1$	$x_1x_2y_2$	$x_2^2y_0$	$x_2^2y_1$	$x_2^2y_2$
x_0f_1				-1	1													
x_1f_1									-1	1								
x_2f_1													-1	1				
x_0f_2			-1	-1		1												
x_1f_2						-1			-1		1							
x_2f_2									-1				-1		1			
x_0f_3	-2						1											
x_1f_3				-2									1					
x_2f_3							-2									1		
x_0f_4		-1						1										
x_1f_4					-1									1				
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x_0f_5			-2						1									
x_1f_5						-2									1			
x_2f_5								-2										1

size = $\text{HF}_S(d, e)$

Example (continued)

We compute the matrices

$$M_{x_0/(x_0+x_1+x_2)}, M_{x_1/(x_0+x_1+x_2)}, M_{x_2/(x_0+x_1+x_2)}$$

from $I_{(2,1)}^\perp$.

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-1.03745e-16	0.25	0.333333	0.5
1.0	0.25	-2.48091e-16	-3.16351e-16
-1.64372e-16	0.5	0.666667	0.5

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Example: $\mathcal{A} \in \mathbb{C}^{12} \otimes \mathbb{C}^7 \otimes \mathbb{C}^3$

For a generic tensor $\mathcal{A} \in \mathbb{C}^{12} \otimes \mathbb{C}^7 \otimes \mathbb{C}^3$ of rank 12, we find

$i \backslash j$	0	1	2	3	4	5	...
0	1	3	6	10	15	21	...
1	7	12	15	16	15	12	...
2	28	21	15	12	12	12	...
3	84	12	12	12	12	12	...
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\ddots

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Questions:

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Regularity

Let $(\beta_i, \gamma_i) \in \mathbb{P}^m \times \mathbb{P}^n$ be generic and

$$\mathcal{R}(m, n, (d, e)) := \frac{\mathrm{HF}_S(1, 1)\mathrm{HF}_S(d', e') - \mathrm{HF}_S(d, e)}{\mathrm{HF}_S(d', e') - 1}.$$

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Conjecture: Let I come from a tensor that satisfies our assumptions. Let $d \geq 1$ and let m, n, r be such that

$$r \leq \mathcal{R}(m, n, (d, 1)).$$

There is a Zariski dense, open subset $U \subset (\mathbb{P}^m \times \mathbb{P}^n)^r$, such that for all $((\beta_i, \gamma_i), i = 1, \dots, r) \in U$, $\mathrm{HF}_{S/I}(d, 1) = r$.

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Theorem: This conjecture holds in the following cases:

$$\begin{array}{ll} m \in \mathbb{N}_0, n \in \mathbb{N}_0 \ \& \ d = 1, & m \in \{1, 2\}, n \in \mathbb{N}_0 \ \& \ d = 2, \\ 2 \leq m + 1, n + 1 \leq 50 \ \& \ d = 2, & 2 \leq m + 1, n + 1 \leq 9 \ \& \ 3 \leq d \leq 5, \\ 2 \leq m + 1, n + 1 \leq 6 \ \& \ 6 \leq d \leq 10. & \end{array}$$

Decomposing a 7th order tensor of rank 1000

```
Grouping [[4, 6, 7, 8], [1, 2, 3], [5]] and reshaped to
(1050, 343, 6) tensor in 0.810859903 s
1. Performed ST-HOSVD compression to (1000, 343, 6) in 0.44888179 s
   Swapped factors 2 and 3, so the tensor has size (1000, 6, 343)
   Selected degree increment d_0 = [1, 0]
2. Constructed kernel of A_1 of size (1000, 2058) in 22.333814068 s
3. Constructed resultant map of size (7203, 6348) in 72.176802896 s
4. Constructed res res' in 1.266772414 s
5. Computed cokernel of size (1000, 7203) in 108.332858902 s
6. Constructed multiplication matrices in 2.037837294 s
7. Diagonalized multiplication matrices and extracted solution
   in 78.097176017 s
8. Refined factor matrices Y and Z in 151.170096114 s
9. Recovered factor matrix X in 0.186951757 s
10. Recovered the full factor matrices in 0.9396202759999999 s
Computed tensor rank decomposition in 440.457582263 s
Relative backward error = 3.873171296624731e-15
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```

Homotopy continuation would require tracking $> 40 \cdot 10^9$ paths!

Points in projective space

Fix a degree $d \in \mathbb{N}$ (order of a partially symmetric tensor)

$Z = (z_1, \dots, z_r) \in (\mathbb{P}^n)^r$ defines three ideals in $S = \mathbb{C}[x_0, \dots, x_n]$:

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- ▶ $J(Z) = \langle f \in S \text{ homogeneous} : f(z_i) = 0 \text{ for all } i \rangle$,
- ▶ $I(Z, d) = \langle f \in S_d : f(z_i) = 0 \text{ for all } i \rangle$,
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Lemma

If $r < \text{HF}_S(d) - n$, then for Z in a dense open subset $U \subset (\mathbb{P}^n)^r$

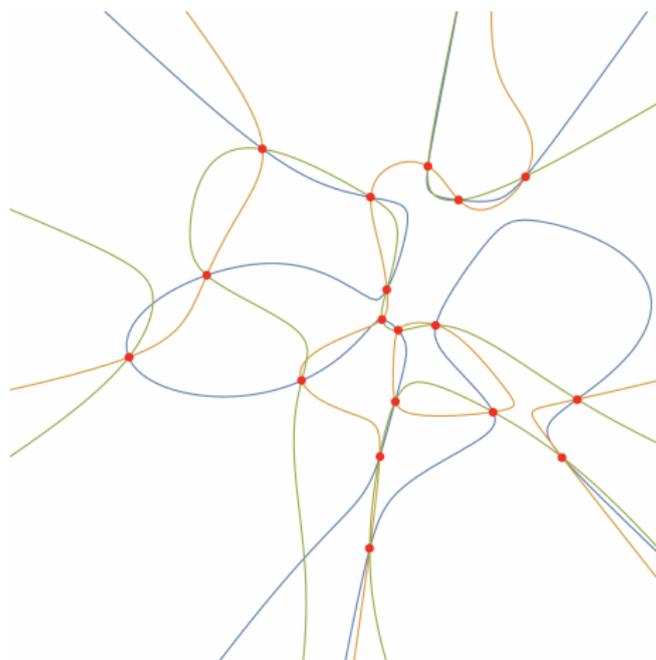
1. $\text{HF}_{J(Z)}(e) = \max\{\text{HF}_S(e) - r, 0\}$,
2. $V_{\mathbb{P}^n}(I(Z, d)) = Z$ (reduced),
3. $I(Z, d)^{\text{sat}} = J(Z)$.

Points in projective space

Q: For $\mathrm{HF}_S(d-1) < r < \mathrm{HF}_S(d) - n$ and $Z \in U$, what is the smallest positive integer d' such that $\mathrm{HF}_{S/I(Z,d)}(d+d') = r$?

Points in projective space

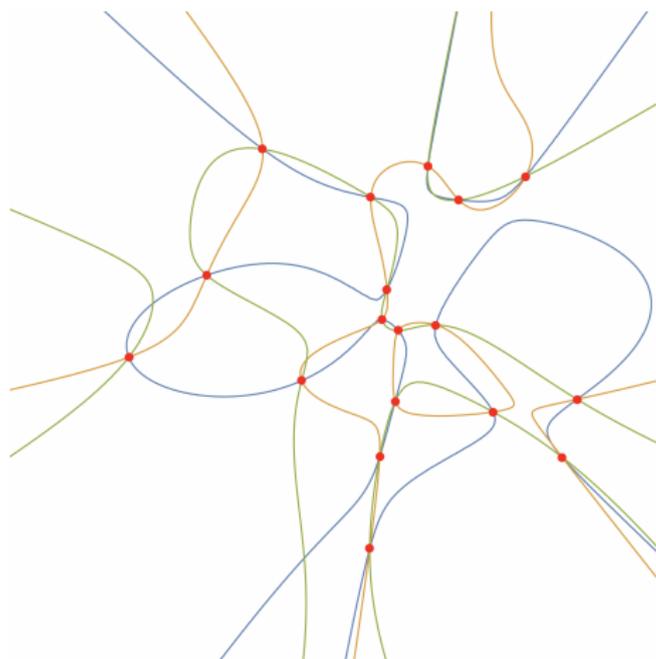
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There are three quintics ($d = 5$) through $r = 18$ points in \mathbb{P}^2 ($n = 2$).

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The HF of $S/I(Z, 5)$ is:

1 3 6 10 15 18 19 18 ...

A: $d' = 2$.

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Example: $n = 2, d = 5, r = 18$:

$$d' \neq 1: 2 \cdot 18 > 3 \cdot 21 - 28$$

$$d' = 2: 5 \cdot 18 = 6 \cdot 21 - 36$$

Example: $n = 3, d = 5$:

r		\dots	46	47	48	49	50	51	52
d'		1	1	2	2	3	3	4	6

Conclusion and outlook

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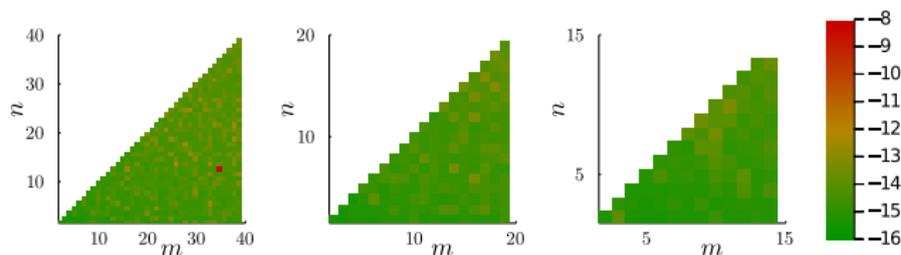
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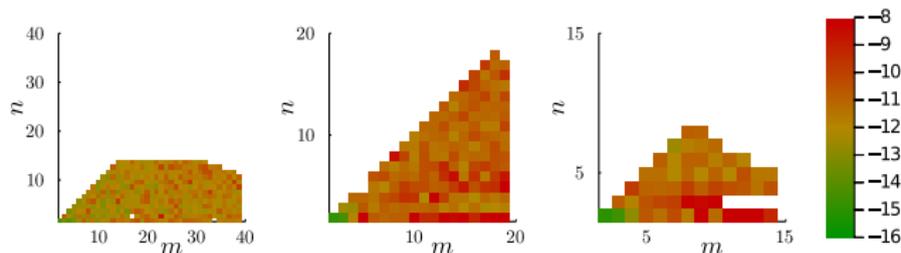
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S.T. and N. Vannieuwenhoven, A Normal Form Algorithm for Tensor Rank Decomposition: [arXiv:2103.07411](https://arxiv.org/abs/2103.07411) (to appear in ACM TOMS)

Numerical experiments



(a) `cpd_hnf`



(b) `cpd_dd1`

I. Domanov and L. De Lathauwer. “Canonical polyadic decomposition of third-order tensors: relaxed uniqueness conditions and algebraic algorithm”. In: *Linear Algebra Appl.* 513 (2017), pp. 342–375

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On our inequality

$$\begin{aligned}\mathrm{HF}_{S/I}(d + d') &= \mathrm{HF}_S(d + d') - \mathrm{HF}_I(d + d') \\ &\geq \mathrm{HF}_S(d + d') - \mathrm{HF}_S(d') \cdot (\mathrm{HF}_S(d) - r)\end{aligned}$$

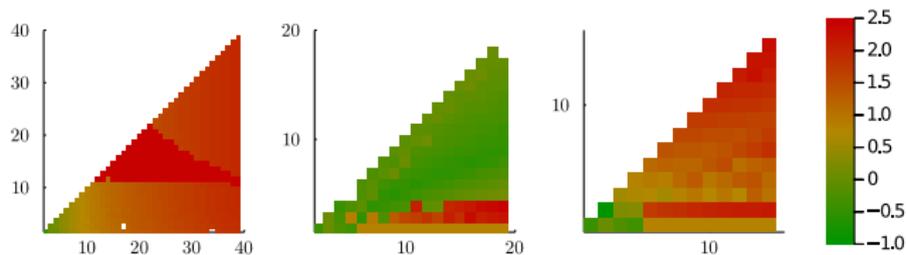
The inequality $\mathrm{HF}_S(d + d') - \mathrm{HF}_S(d') \cdot (\mathrm{HF}_S(d) - r) \leq r$ is equivalent to

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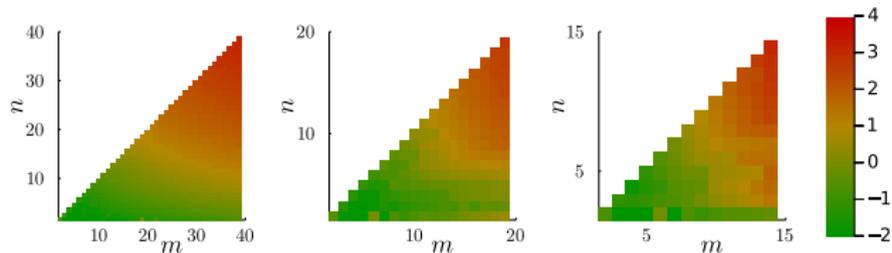
$$(\mathrm{HF}_S(d') - 1) \cdot r \leq \mathrm{HF}_S(d') \cdot \mathrm{HF}_S(d) - \mathrm{HF}_S(d + d').$$

Some more experimental data

Ratio of memory consumption:

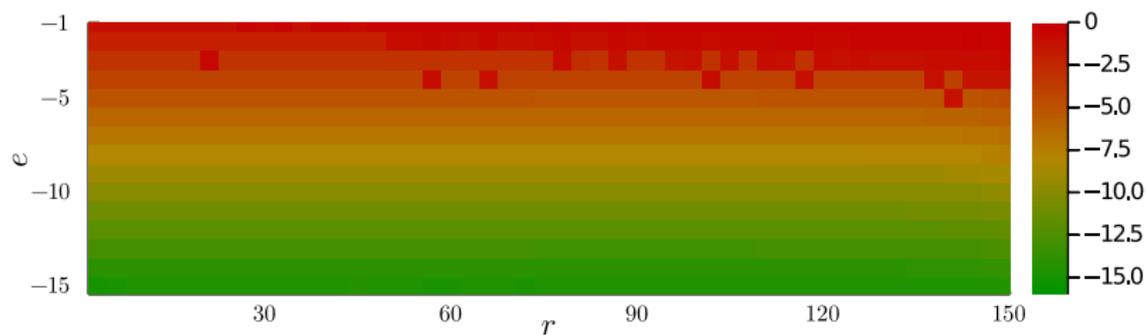


Computation time (\log_{10} , seconds)



Some more experimental data

Low rank approximation with noise:



$(\ell, m, n) = (149, 24, 9)$, $e =$ noise level, $r =$ rank.